

Client Name	Optional
Filing status*	Directly below the taxpayer information on page 1 of 1040
Number of exemptions	Form 1040, line 6d
Salaries and wages (client)	Form 1040, line 7 (do not include prior year option income)
Salaries and wages (spouse)	Form 1040, line 7 (do not include prior year option income)
Self-employment income (client)	Form 1040, line 12 or Schedule SE (do not include prior year option income)
Self-employment income (spouse)	Form 1040, line 12 or Schedule SE (do not include prior year option income)
Investment income	Form 1040, lines 8a, 9a, and potentially lines 16 and 17 (see K-1s)
Dividend income	Schedule D for Qualified Dividends (cap gain rate), otherwise Schedule B
Long-term capital gain (loss)	Schedule D
Other income	Form 1040, line 10, 11, 14, 15, 16, 17, 18, 19, 20, 21
Adjustments to gross income	Form 1040, line 23 through 32a (exclude line 28)
Deductible taxes, excluding state income tax	Schedule A, line 9 less line 5
Interest paid on home equity loans (see Help file)	Schedule A, contained in lines 10, 11, and potentially line 12. <i>This is interest on a loan NOT used to buy, build or improve the home</i>
Investment interest expense	Schedule A, line 13
Other investment expense, excluding investment interest	Schedule A, line 22
Other itemized deductions, excluding state income tax	Schedule A, lines 4, 10, 11, 12, 18, 19, 26, less investment portion of line 22 and 27 <i>In other words, everything you haven't entered from Schedule A on some other line (excluding state income taxes)</i>
AMT adjustments & preferences: Exclusion items	Form 6251, lines 2, 3 (less state tax portion), 4, 5, 7, 8, 9, 11, 12 and exclusions from line 12d of any K-1s reported on line 14
AMT adjustments & preferences: Deferral items	Form 6251, lines 10, 14 (not reported above), 15 - 27
Ticker symbol of corporation issuing options	If not publicly traded, use an identifying abbreviation
Initial stock price (1/1 of the first year of model)	Generally use the current stock price. <i>Full year of annual appreciation will be applied</i>
Estimated annual appreciation of stock price	Advisor/Client's estimate
Per share dividend (1/1 of first year of model)	The current annual dividend per share
Estimated annual appreciation of dividend	Advisor/Client's estimate
Minimum tax credit carryforward	Form 8801, line 26
Capital loss carryforward	Capital Loss carryforward worksheet D6 of instruction booklet
Investment interest carryforward	Form 4952, line 7
Capital loss carryforward - AMT basis	AMT version of Schedule D, worksheet D6
Investment interest carryforward - AMT basis	AMT version of form 4952, line 7
Capital gains rate (override)	Your estimate of an alternative rate (if any). <i>Leave blank to use the current tax rates.</i>
Average state income tax rate	State taxes are an exclusion item for AMT. The amount is variable based on the client's income. Therefore enter your best estimate of the state tax burden the client will incur as a result of option exercises, share sales and RSP vestings.
State taxable income adjustment	To make adjustments to estimated state taxable income to which the estimated state tax rate is applied, enter a value here. (e.g. - Deduction for federal taxes paid)
Estimated inflation/escalation rate for tax-related values	Enter an estimate for the annual % increase in tax brackets and phase out values.
Investment Account	
Annual dividends	Advisor/Client's estimate
Capital gains distributions	Advisor/Client's estimate
Appreciation	Advisor/Client's estimate
Interest (loan) rate for negative balances	Advisor/Client's estimate
Copy of option grant statement with the following information included :	
<p><u>ISO</u>: name of grant, date of grant, grant expiration date, exercise price, # of unvested shares with vesting schedule, # of vested and unexercised shares, # of shares exercised and unsold, cumulative AMT adjustment.</p> <p><u>NQSO</u>: name of grant, date of grant, grant expiration date, exercise price, # of unvested shares with vesting schedule, # of vested and unexercised shares, # of shares exercised and unsold, cum cost basis.</p> <p><u>Restricted stock plans</u>: name of grant, date of grant, price per share, # of restricted shares, cum total # of unrestricted shares, cum # of unrestricted non-83(b) shares, # of unrestricted shares available for sale, # of shares in cost basis pool (before sale), cum cost basis before sale.</p> <p><u>Shares held outright</u>: You will need the number of shares, date of purchase, source (ISO exercise, NQ exercise, open-market purchase), regular tax basis (gross or per share) and AMT tax basis (if different).</p>	